

# AMAZIN AUTOMATION SOLUTIONS INDIA LIMITED

(Formerly Known as AMAZIN AUTOMATION SOLUTIONS INDIA PRIVATE LIMITED)

CIN: U24100DL2013PLC261524

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## VIGIL MECHANISM POLICY

### 1. Introduction

Amazin Automation Solutions India Limited (the “**Company**”) has adopted a Whistle Blower Policy (“**Policy**”), which outlines the Company’s commitment to ensure that all directors and employees are able to raise concerns regarding any serious irregularities or any unfair practice or any event of misconduct or any illegal activity occurring in the company.

Any actual or potential violation of the Company’s Code of Conduct for Board of Directors and Senior Management Personnel (“**Code**”), howsoever insignificant or perceived as such, would be a matter of serious concern for the Company. The role of employees in pointing out such violations of the Code cannot be undermined. Accordingly, this Policy has been formulated with a view to providing a mechanism for employees of the Company to raise concerns on any violations of legal or regulatory requirements, incorrect or misrepresentation of any financial statements and reports, etc.

This Policy of the Company has been approved by the Audit Committee of the Board of Directors (“**Board**”) of the Company as per the terms of the provisions of Section 177 of the Companies Act, 2013, Rule 7 of the Companies (Meetings of the Board and its Powers) Rules, 2014 and Regulation 4(2)(d)(iv) and Regulation 22 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“**SEBI Listing Regulations**”).

### 2. Purpose

The purpose of this Policy is to create and adhere to a corporate culture of transparency, accountability, integrity and openness and to provide a framework to promote responsible and secure whistle-blowing. It protects employees and third parties desiring to raise a concern about serious irregularities that could have a grave impact on the operations and performance of the business of the Company.

While this Policy is intended to protect genuine Whistle-blowers from any unfair treatment as a result of their disclosure, misuse of this protection by making frivolous and bogus complaints with mala fide intentions is strictly prohibited. Personnel who make a complaint with mala fide intention and which is subsequently found to be false will be subject to strict disciplinary action.

### 3. Applicability

The Policy applies to all the Company’s employees. The policy shall also apply to any complaints made by directors and other stakeholders of the Company.

### 4. Definitions

“**Audit Committee**” means the Audit Committee constituted by the Board of Directors of the Company in accordance with section 177 of The Companies Act, 2013, read with the rules thereon and read with SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015.

**“Company”** means Amazin Automation Solutions India Limited and its subsidiaries, if any.

**“Disciplinary action”** means any action that can be taken on the completion of /during the investigation proceedings, including but not limited to a warning, imposition of a fine, suspension from official duties or any such action as is deemed to be fit considering the intensity of the matter.

**“Good Faith”** An employee shall be deemed to be communicating in ‘good faith’ if there is a reasonable basis for communication of unethical and improper practices or any other alleged wrongful conduct. Good Faith shall be deemed lacking when the employee does not have personal knowledge on a factual basis for the communication or where the employee knew or reasonably should have known that the communication about the unethical and improper practices or alleged wrongful conduct is malicious, false or frivolous.

**“Personnel”** means any employee of the Company (including outsourced, temporary and on-contract personnel), director and/or third-party engaged by or on behalf of the Company. Personnel cover Directors and Employees as defined hereinafter.

**“Director”** means a director appointed to the Board of the Company.

**“Employee”** means any employee of the Company (whether working in India or abroad), including the Directors of the Company.

**“Protected Disclosure”** means the disclosure of a Reportable Matter in accordance with this Policy.

**“Reportable Matter”** means a genuine concern concerning actual or suspected fraudulent practices, such as improperly tampering with the Company's books and records, or theft of the Company's property; and/or breach of the Code. This shall exclude complaints concerning professional development issues of employees or employees' compensation, or other personal grievances are not Reportable Matters for purposes of this Policy.

**“Whistle-blower”** means any Personnel who makes a Protected Disclosure under this Policy.

**“Whistle Officer”** means an officer who is nominated/appointed to conduct a detailed investigation of the disclosure received from the whistleblower and recommend disciplinary action. Currently, the Company Secretary is nominated as Whistle Officer.

Words and expressions used and not defined in this Policy shall have the meaning ascribed to them in the SEBI Listing Regulations, the Securities and Exchange Board of India Act, 1992, as amended, the Securities Contracts (Regulation) Act, 1956, as amended, the Depositories Act, 1996, as amended, or the Companies Act and rules and regulations made thereunder.

## **5. Guiding principles of the vigil mechanism**

To ensure the effective implementation of the vigil mechanism, the company shall:

- a. Ensure protection of the whistleblower against victimisation for the disclosures made by him/her.
- b. Ensure complete confidentiality of the whistleblower's identity and the information provided by him/her.
- c. Ensure that the protected disclosure is acted upon within specified timeframes and no evidence is concealed or destroyed.
- d. Ensure that the investigation is conducted honestly, neutrally, and in an unbiased manner.
- e. Ensure the whistleblower would not get involved in conducting any investigative activities other than as instructed or requested by the Ethics Committee or Chairman of the Audit Committee.
- f. Ensure the subject or other involved persons in relation to the protected disclosure are given an opportunity to be heard.
- g. Ensure disciplinary actions are taken against anyone who conceals or destroys evidence related to protected disclosures made under this mechanism.

## **6. Applicability of the Policy**

The Policy covers malpractices and events which have taken place/ suspected to take place involving:

1. Abuse of authority
2. Breach of contract
3. Negligence causing substantial and specific danger to public health and safety
4. Manipulation of company data/records
5. Financial irregularities, including fraud or suspected fraud or deficiencies in internal control and check or deliberate error in preparations of financial statements or misrepresentation of financial reports
6. Any unlawful act, whether Criminal/ Civil
7. Pilferage of confidential/proprietary information
8. Deliberate violation of law/regulation
9. Wastage/misappropriation of company funds/assets
10. Bribery or corruption
11. Retaliation
12. Breach of IT Security and data privacy
13. Social Media Misuse
14. Breach of Company Policy or failure to implement or comply with any approved Company Policy
15. Conflict of interest
16. Child labour
17. False expense reimbursements
18. Discrimination in any form

The following nature of complaints shall not be covered by this Policy:

1. Complaints that are frivolous in nature
2. Issues relating to personal grievance (increment, promotion, etc.)
3. Sexual harassment as it is covered by the Sexual Harassment Policy. If the Audit Committee or Company Secretary receives a sexual harassment complaint, it should be forwarded to the Sexual Harassment Committee set up for this purpose on the basis of the “Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013.

If such complaints are received, they shall be forwarded to the respective stakeholders for action on the same.

The Policy should not be used for raising malicious or unfounded allegations against colleagues or superiors. The above should be supported by proper evidence and reliable information. Care should be taken not to indulge in baseless allegations and should not be used in place of the Company’s grievance procedures. If a complaint, after an investigation proves to be frivolous, malicious, or made with an ulterior intent, the Audit Committee shall take appropriate disciplinary action against the concerned Whistle Blower.

Any Employee and/or Director, knowingly hiding information in any form regarding any unethical practice/activities/behaviours in one’s workplace, will also constitute unethical practice on the Employee’s part.

## 7. Reporting Mechanisms

The Company encourages its Personnel to raise questions, concerns, suggestions, or complaints with someone who is in a position to address them properly. In most cases, a personnel's supervisor, manager or point of contact is in the best position to address an area of concern. However, if the Personnel feels uncomfortable speaking with their supervisor or similarly situated person, or if not satisfied with such person's response, then the Personnel are encouraged to speak with or reach out to the Company Secretary or the Chairman of the Audit Committee.

Notwithstanding the aforesaid, the personnel can lodge a Protected Disclosure in one of the following ways:

1. By contacting the Company Secretary at [cs@amzbizsol.in](mailto:cs@amzbizsol.in)
2. By contacting the Chairman of the Audit Committee at [kkgupta04@gmail.com](mailto:kkgupta04@gmail.com).
3. By sending a complaint letter in a sealed envelope marked "Private and Confidential" to the Chairman of the Audit Committee at the address below:
  - Chairman of the Audit Committee: Krishan Kumar Gupta.

To the extent possible, the Protected Disclosure should include the following:

1. The name of the employee, and/or third party or parties involved
2. Where it happened (division or office or location)
3. When did it happen: a date or a period of time
4. Concern (what happened)
5. Submit proof or identify where proof can be found
6. Whom to contact for more information
7. Prior efforts to address the problem, if any

A Protected Disclosure may be made anonymously. If a Protected Disclosure is made anonymously or otherwise, the Protected Disclosure must provide as much detail and be as specific as possible, including names and dates, in order to facilitate the investigation. However, it should be noted that disclosures expressed anonymously may or may not be investigated.

## 8. No Retaliation

No Whistle-blower, who in "Good Faith" makes a Protected Disclosure, shall suffer harassment, retaliation, or adverse actions or any similar consequences. Any other employees assisting in the said investigation shall also be protected to the same extent as the Whistle-blower.

As a matter of general deterrence, the Company may, at its sole discretion, publicly inform employees of the penalty imposed and disciplinary action taken against any person for misconduct arising from retaliation. Any investigation into allegations of potential misconduct will not influence or be influenced by any disciplinary or redundancy procedures already taking place concerning an employee reporting a matter under this policy.

A supervisor or manager who retaliates against a Whistle-blower who has made a Protected Disclosure in good faith will be subject to disciplinary action, including termination of employment, or a similar consequence if not employed by the Company. This Policy is intended to encourage and enable Personnel to raise concerns within the Company prior to seeking resolution outside of the Company.

## **9. Confidentiality**

Personnel may make a Protected Disclosure on a confidential basis or may make submissions anonymously. In addition, Personnel should be aware that there are significant rights and protections available to individuals who identify themselves when making a Protected Disclosure, and that these rights and protections may be lost if Personnel make a Protected Disclosure on an anonymous basis.

Therefore, the Company encourages all Personnel to identify themselves when making a Protected Disclosure.

In responding to an anonymous Protected Disclosure, the Company will pay due regard to:

- The fairness to any individual named in the anonymous Protected Disclosure
- The seriousness of the issue raised
- The credibility of the information or allegation in the Protected Disclosure; and
- The ability to ascertain the validity of the Protected Disclosure and to appropriately resolve it without the assistance and cooperation of the Whistle-blower.
- Ensure complete fact-finding
- Recommend an appropriate course of action - suggested disciplinary action, including dismissal, and preventive measures

## **10. Handling of Protected Disclosure:**

The Audit Committee is responsible for monitoring the investigation and resolution of all Protected Disclosure. The Audit Committee may enlist employees of the Company and/or outside legal counsel or other advisors, as appropriate, to conduct an investigation of the Protected Disclosure. Appropriate corrective action will be taken if warranted by the investigation, in the Company's sole discretion. Any actions taken in response to a Protected Disclosure will be informed to the whistleblower to the extent allowed by law or warranted by the specific situation, unless the Protected Disclosure was submitted on an anonymous basis.

The Audit Committee will maintain all Protected Disclosure received, tracking their receipt, investigation, and resolution. All Protected Disclosures will be properly investigated, and a proper follow-up will be conducted. Subjects have a responsibility not to interfere with the investigation. The investigation shall be completed normally within 30 days of the receipt of the Protected Disclosure.

All Protected Disclosures in writing or documented along with the results of investigation relating thereto shall be retained by the Company for a minimum period of 7 years. The Audit Committee shall be informed about the outcome of the investigation of the protected disclosure every quarter by the Company Secretary.

## **11. Modification**

The Company is entitled to amend, suspend, or rescind this policy at any time. The Company may modify this Policy unilaterally at any time without notice. Modification may be necessary, among other reasons, to maintain compliance with local, state, and central regulations and/or accommodate organisational changes within the Company.

Whilst the Company has made best efforts to define detailed procedures for the implementation of this policy, there may be occasions when certain matters are not addressed, or there may be ambiguity in the procedures. Such difficulties or ambiguities will be resolved in line with the broad intent of the policy. The Company may also establish further rules and procedures, from time to time, to give effect to the intent of this policy and further the objective of good corporate governance.

## **12. Communication of this Policy**

A copy of the Policy shall be displayed at the office of the Company at a prominent place inside the Company's premises and on the Company's website: <https://amzbizsol.in/>

**Sd/-**

**VIKAS SHARMA**

**Director**

**Effective Date: 01.12.2025**

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